

1 *When you can't hear your own* 2 *word: Producing language in* 3 *noise*

4 **UNDER REVIEW – PLEASE DO NOT CITE**

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8 **ABSTRACT**

9 The paper reports a language production study which assesses the effects of speech-free,
10 fluctuating *babble* noise on the speaking process. Our study focused on the effect of noise on
11 the structural level of sentence generation, or *formulation* in terms of the language
12 production model by Levelt (1989). More particularly, we were interested whether adverse,
13 noisy background conditions would result in reduced structural complexity of spoken
14 language. For the study, 12 native speakers of German were interviewed and asked to tell a
15 picture story in both silence and under noise. The recorded speech was transcribed and
16 manually searched for indicators of structural complexity. In contrast to previous
17 observations by Kemper, Herman and Lian (2003), we found no indication of reduced
18 grammatical complexity for language produced under noise. However, subjects made
19 significantly more errors which lead to ungrammaticality. We discuss the result in terms of
20 different potential mechanisms of interference between noise and the speaking process and
21 address the potential role of the monitor in the on-line generation of intra-sentential
22 dependencies.

23 **INTRODUCTION**

24 Speaking appears to most adults as one of the most natural things to do. We are normally
25 able to speak in different environments, in the hushed atmosphere of a library as well as in
26 the middle of a city ridden with traffic noise. Looking more closely, however, psycholinguists
27 have established that speaking requires the correct function of fine-tuned processes (e. g.
28 Kolk, 1995). The intuitive experience that talking in noise seems more cumbersome or
29 effortful to many speakers indicates that the machinery required for speaking can get out of
30 tune at times. Thus there may be limits to the extent that speakers can cope with distracting
31 (environmental) stimuli. The current study set out to investigate the effects of difficult, noisy
32 conditions on structural aspects of spoken utterances.

33 **THEORETICAL BACKGROUND**

34 Difficulties with language comprehension in noise appear at first sight rather
35 straightforwardly a result of masking of the signal, as in this case the actual acoustic
36 representation of a sentence or word is changed before it reaches the ear of the
37 comprehender. However, processing-for- comprehension under adverse conditions with
38 signal degradation appears particularly difficult when complex, non-canonical, or otherwise
39 ‘unusual’ sentence structure is being processed. It has been argued that the additional effort
40 necessary to restore a useful speech signal from the masked signal draws on cognitive
41 resources otherwise needed for the comprehension of structurally complex sentences, for
42 instance by Wingfield and Tun (1999); Wingfield, Peelle and Grossman (2003), who frame
43 their results in terms of Patrick Rabbitt’s effortfulness hypothesis (Rabbitt, 1968, 1991; also
44 see Uslar, Ruigendijk, Hamann, Brand & Kollmeier, 2011; Carroll & Ruigendijk, in press for
45 more recent results and similar theoretical accounts).

46

47 **Speaking in noise**

48 *Previous observations.* As for the influence of noise on speaking, it is certainly less
49 straightforward to postulate a comparable mechanism. Still, noise has been shown to exert an
50 influence on the speaking process at different levels. For almost exactly a century, one strand
51 of previous empirical work has been concerned with acoustic or prosodic effects of noise on
52 speaking, in studies about the so-called Lombard reflex or Lombard effect. This effect is
53 among other things characterised by spectral changes, an increase in voice intensity and an
54 increase in articulation effort perceived by speakers when producing language in noise
55 (Lombard, 1911; see Junqua, Fincke & Field, 1999, or Lu & Cooke, 2008 for more recent work
56 on this effect). These changes have been argued to be triggered by a subconsciously operating
57 self-regulation mechanism (Lu & Cooke, 2008). However, it remains an open question,
58 whether this kind of adaptation only pertains to the phonetic/phonological level, or if other
59 aspects of language production might also be influenced by noise.

60 Previous studies that looked at the effect of noise on speaking at a sentential level pointed
61 to another noticeable effect on speakers. In an early demonstration of the effect of noise on
62 speaking, Hanley and Steer (1949) showed a significant reduction of speech rate in words per
63 minute (also see Hanke, Hamann & Ruigendijk, in press, for a similar observation). Since
64 subjects in those studies had not been trained or instructed in any particular direction, this
65 behaviour might reflect an automatic adaptation to the environmental setting for this
66 particular task.

67 Postma and Noordanus (1996) used noise to interfere with processing resources during
68 monitoring for *speech errors*. Their results show an increase in the number of undetected
69 phonological errors and dysfluencies under noise. The authors account for their results based
70 on the 'levels of integration' language production architecture (Garrett, 1980; Levelt, 1989),
71 more specifically on the assumption of a monitoring system that checks language production
72 on-the-fly for errors.

73 The monitoring system compares different levels of structure down the line to the
74 intended conceptual message during the production process. In case of mismatch,
75 formulation and/or articulation can be interrupted and a repair can be attempted. According
76 to Levelt (1983) and Levelt (1989), the monitoring component ensures error-free production
77 with respect to the multiple levels of an utterance (Levelt, 1989: 460), and thus serves a
78 “corrective” function (Postma, 2000). Levelt’s *perceptual loop theory* (Levelt, 1983, 1989)
79 assumes two different channels through which (parts of) an utterance can be fed back into
80 the comprehension system. An internal loop is created by allowing a direct connection
81 between production and comprehension tapping the phonological plan or internal speech
82 that is output from the formulation stage. The external loop draws on overt speech that is
83 processed through the auditory perceptual system first and then fed into the comprehension
84 system.¹

85 The external loop seems to form a *prima facie* plausible candidate for the necessary
86 interface between perception and production at which external noise might exert an
87 influence. Postma and Noordanus (1996) support this assumption with experimental data on
88 the negative influence of noise on the external loop. According to their results, impairment of
89 the monitor’s proper function via the perceptual loop leads to higher error rates on the
90 phonological and lexical level.

91 *Sentence production in noise.* It seems relatively uncontroversial to us to explain
92 acoustic/prosodic and error rate effects of noise by assuming a monitoring system which
93 operates through auditory feedback. However, results by Kemper et al. (2003) indicated that
94 difficult acoustic surroundings might even have an effect on earlier stages of utterance
95 generation. The authors analysed several characteristics of spoken language production by
96 different populations under *dual-task conditions*, where the cognitive load from a secondary
97 task limits the availability of cognitive resources for a primary task (cf. e. g. Pashler, 1994).
98 Crucially, their study included an experimental setting where subjects were presented with

99 'cafeteria babble' background noise. Kemper et al. (2003) reported differential effects of dual-
100 task conditions on grammatical complexity, contingent on the age group speakers belonged
101 to. While older participants in their study relied on a reduction of the rate of speech, younger
102 participants produced speech which was *reduced in grammatical complexity*. As measures of
103 complexity the study by Kemper et al. (2003) used the mean number of clauses per utterance
104 (MCU), and D-Level, a compound score of grammatical complexity based on the age of
105 acquisition for particular types of sentence structure (Rosenberg & Abbeduto, 1987).

106 The authors interpret their results as evidence for the assumption that simply listening to
107 noise can exert a dual-task effect, which can influence sentence formulation processes. A
108 central, mediating role in the explanation of dual-task effects on speaking is played by the
109 notion of processing or *working memory capacity*. Authors like Gibson (1998), Kemper and
110 Kemtes (1999) and Kemper and Sumner (2001) have argued that individual capacity
111 constraints form an important boundary condition for the processing of complex sentence
112 structure. Kemper and Sumner (2001) investigated effects of ageing on speakers' verbal
113 ability, including measures for grammatical complexity. They found significant correlations
114 with different measures for working memory capacity constructs that had been argued to be
115 relevant in language processing. Based on their results, the authors claim that age-related
116 reductions in cognitive capacity serves as a cause for reduced grammatical complexity found
117 in speech produced by older speakers. Similar to the effects of ageing, Kemper et al. (2003)
118 claim that difficult, noisy situations are taxing on processing or memory capacity and that
119 speakers have to adjust the level of grammatical complexity during speaking as a coping
120 strategy.

121 *Irrelevant sound effect*. An obvious question in this respect is how simply listening to
122 or ignoring speech or noise might lead to a reduction on available working memory capacity
123 or to an additional cognitive load comparable to other kinds of dual-task effects. In order to
124 substantiate this claim, Kemper and colleagues point to a number of interesting observations

125 from attention and memory psychology. Empirical research about the so-called *irrelevant*
126 *speech effect* has converged upon the finding that concurrent but unattended speech has a
127 detrimental effect on processing for tasks that involve the recalling of (unconnected) verbal
128 material (cf. for instance Banbury & Berry, 1998; Banbury, Macken, Tremblay & Jones, 2001).
129 Somewhat counter-intuitively, the effect occurs no matter what intensity or content the
130 concurrent speech has, albeit the strength of the effect might differ (Klatte, Kilcher &
131 Hellbrück, 1995; Ellermeier & Hellbrück, 1998; Salamé & Baddeley, 1982). Importantly, the
132 effects of irrelevant auditory stimuli on verbal recall are not limited to irrelevant speech. As
133 for instance Jones and Macken (1993) and Klatte and Hellbrück (1993) have shown, series of
134 intermittent tones or speech-free noise lead to performance decreases. What is more, the
135 negative effect on performance is substantially stronger with fluctuating, ‘babble-like’ noise
136 than with constant noise (Klatte et al., 1995). Hence, these results indicate that simply
137 listening to, or ignoring certain kinds of noise can draw on cognitive resources necessary for
138 other tasks. Based on the interpretation of the ISE proposed for instance by Jones (1993), it
139 can be argued that this competition for resources is similar to experiments with a dual-task
140 paradigm.

141 More particularly, with their *changing state hypothesis*, Jones and Macken (1993) and
142 Macken, Tremblay, Alford and Jones (1999) emphasise the role of the structured nature of
143 both speech as well as fluctuating, non-speech distractor sounds. The authors suggest that
144 any structured sound signal is automatically subjected to auditory scene analysis, which tries
145 to extract an ordered sequence of auditory objects from the signal. The hypothesis predicts
146 that processing for the primary task of maintaining an ordered list of words in working
147 memory will compete for processing resources on a *seriation process*, that would be engaged
148 concurrently by automatic auditory scene analysis.

149 While it is difficult to compare the typical ISE task of recalling ordered lists of words to
150 ‘normal’ speaking, it might be the case that keeping track of the serial order of elements in

151 one's own speech is a very basic, underlying function sub-serving the language production
152 process as well, including monitoring of previous speech. Still, the question remains which
153 steps or functions performed during natural language processing-for-production will be
154 susceptible to similar effects of a dual-task load and what the mechanism for the interference
155 could look like. As the results by Kemper et al. (2003) indicated, speakers might attempt to
156 overcome situational difficulties by resorting to 'easier' sentence structures. This observation
157 then poses the question how the complexity or difficulty of a particular structure and
158 cognitive load are related in the formulation process.

159 **Sentence structure and linguistic complexity**

160 Syntactic structure has been at the core of research about constraints on language
161 processing by speakers and listeners (Jackendoff, 2002). Observational studies about
162 language acquisition and language breakdown, as well as corpus studies have indicated that
163 certain sentence structures are used with considerably lower frequency of occurrence than
164 others, or hardly occur at all. Formalised notions of structural complexity based on linguistic
165 theory are sometimes used as a means to predict processing difficulty or even processing
166 time characteristics, as exemplified for instance by Frazier (1987), Gibson (1998, 2000), and
167 in other authors' metrics that are defined structurally. Lewis (1996) provides a survey and
168 discussion for a number of attempts in this direction, also see Roark, Mitchell and
169 Hollingshead (2007). One of the perhaps simplest construals of complexity is certainly based
170 on the amount of elements in a given domain of analysis, for instance word length in
171 phonemes or morphemes, sentence length in words or text length measured in sentences, or
172 in other combinations of elements within a given domain of inquiry. On the level of sentences
173 that is in the focus of the current study, this notion is the basic rationale behind the *mean*
174 *length of utterance* (MLU, Brown, 1973) measure that is used to approximate grammatical
175 complexity in young children, or the mean clauses per utterance (MCU) measure.

176 However, such measures might form rather coarse measuring tools when it comes to
177 more intricate differences between sentence structures which have apparent effects on how
178 well sentences can be processed or how difficult, acceptable, or grammatical a sentence is
179 judged intuitively. In psycholinguistic research on special populations, structural measures
180 based on linguistically defined metrics are relatively common. Research on typical language
181 acquisition for instance has aimed to establish developmental pathways from ‘simpler’ to
182 more ‘complex’ sentence structure (cf. e. g. Brown, 1973; Bloom, Lahey, Hood, Lifter & Fiess,
183 1980; Rosenberg & Abbeduto, 1987). These pathways can be contrasted with acquisition
184 patterns found in children with language impairments. In this vein, research on hearing
185 impairment and language pathologies has yielded evidence that certain types of syntactic
186 structure are more error-prone in production, in addition to being acquired later (Brannon &
187 Murry, 1966; Svirsky, Robbins, Kirk, Pisoni & Miyamoto, 2000; Friedmann & Szterman, 2006;
188 Hamann, Tuller, Monjauze, Delage & Henry, 2007; Delage, Monjauze, Hamann & Tuller, 2008;
189 Friedmann, Belletti & Rizzi, 2009; Delage & Tuller, 2010, Jakubowicz, 2010).

190 A critical assumption implicit in this and other kinds of psycholinguistic work is that a
191 structure which is more ‘complex’ at the level of formal linguistic description will also be
192 cognitively more costly to process (see e. g. Fanselow, Kliegl & Schlesewsky, 1999). Since
193 complexity of sentences is not simply a function of the amount of words, other factors have to
194 be taken into account as well, as explained in the following section.²

195 **The current study**

196 The current study aims to explore the effects of noise on linguistic complexity of spoken
197 language in more detail, and in order to do so we used a number of different structure types
198 as indicators for sentential complexity. In particular, we are concerned with the question
199 whether a noise-induced secondary task load affects cognitive processes implied by language
200 processing-for-production on the (on-line) processing of sentence structure during
201 grammatical encoding.

202 With respect to the compound scores used in previous studies by Kemper and colleagues,
203 it might be of additional interest, especially from a linguistic viewpoint, whether certain
204 structures will be affected more than others by processing detriments related to background
205 noise, or which aspects of sentence complexity are particularly difficult to process under
206 difficult acoustic conditions. At the same time, even to this day it poses a major problem for
207 linguistic and psycholinguistic theory to establish generally accepted, ‘unified’, and
208 empirically solid notions of complexity. For practical reasons, in the current study we will
209 search for different indicators of relative sentence complexity where we see converging
210 evidence from both psycholinguistic work and from theoretical assumptions about structural
211 complexity based on formal linguistic analysis. The structures we used have been shown to
212 be indicative of some kind of processing difficulty in studies on language acquisition,
213 language breakdown, as well as in on-line comprehension studies with healthy adults.

214 *Measures used.*

215 **Embedding.** The relative difficulty of embedded versus non-embedded clauses has been in
216 the focus of much psycholinguistic research for decades, see for instance Lewis (1996) or
217 Gibson (1998) on the problem of centre-embedding. Saffran, Berndt and Schwartz (1989) for
218 instance use embedding as one indicator for structural complexity to assess the language
219 production abilities of speakers with aphasia; Rosenberg and Abbeduto (1987) employ a
220 similar criterion to assess production in children.

221 The processing of structures with embedded clauses can be argued to be more difficult on
222 semantic and syntactic grounds: The conceptual structure of such sentences is more complex,
223 since the embedding relation must be encoded propositionally (e. g. Bloom et al., 1980;
224 Schlepperegell, 1992; Yuasa & Sadock, 2002). On the syntactic level, formal relationships
225 between matrix and embedded clause need to be represented, where different types of
226 embedding (e. g. complement, adjunct, or relative clauses) might incur different processing
227 difficulty, depending on the kind of relation that has to be processed (cf. Speer & Clifton,

228 1998; Schütze & Gibson, 1999; but also see Przepiórkowski, 1999), and depending on the
229 depth of embedding (Delage & Tuller, 2010). For these reasons, we searched for tokens of
230 embedded structure in our data.

231 **Word order/canonicity.** Other markers that have been argued to be indicative of structural
232 complexity are the word order differences that distinguish for instance SVO and OVS
233 sentences in German or other V2 languages, active and passive sentences, or subject relative
234 clauses from object relative clauses. According to for instance Fanselow et al. (1999), what is
235 particularly costly in ‘non-canonical’ sentences is the dislocation of arguments and the
236 concomitant difficulty in assigning the right theta-role to the right argument. Gorrell (2000)
237 presents evidence for a subject-before-object preference in German, which is violated in
238 sentences with a topicalised object or in passives (but see Draai & Grodzinsky, 2006 for
239 discussion of the status of passive sentences in German). In addition, information structure
240 and discourse context have been shown to play a role in how arguments are linearised and
241 how much difficulty a particular linearisation poses during processing (Weskott, 2002; Späth,
242 2003; Mak, Vonk & Schriefers, 2008). Nevertheless, without context the canonical word order
243 can be considered ‘easier’ to process than a non-canonical word order. Based on this premise
244 we used the amount of instances of non-canonicity as one of our dependent measures.

245 **Other measures.** In addition to the structural indicators we counted the occurrence of
246 grammatical errors and calculated measures for the Lombard reflex as well as for speech rate
247 and fluency, in order to replicate effects from earlier studies and establish whether the
248 background noise we used would have an observable effect on these aspects of language
249 production.

250 **Spontaneous production.** For the current study, we elicited semi-spontaneous
251 speech samples from speakers under different conditions. Using spontaneous speech or
252 conversation recordings has a couple of advantages over more strictly controlled methods for

253 testing language production (cf. Eisenbeiss, 2010). First and foremost the ecological validity
254 of studies using naturalistic data is typically higher, because of the reduced artificiality of the
255 task, compared to other elicitation methods. In addition, typically no (or much fewer)
256 preconceived theoretical considerations can influence the design of stimuli. Of course, for the
257 very same reasons, the conclusions that can be drawn from naturalistic or structured
258 sampling are inherently limited, due to the lack of systematic experimental control. Samples
259 may vary greatly between subjects in size and content, and hence the natural contexts they
260 might provide for particular phenomena can vary too (Eisenbeiss, 2010).

261 Despite these issues, naturalistic speech samples provide a good starting point for
262 explorative research and hypothesis generation (Brown & Hanlon, 2004). For the current
263 study we compare speakers' performance under different noise conditions within subject,
264 and we do not perform comparisons between groups that systematically differ in properties
265 inherent to the subjects. Therefore we should be able to interpret possible effects of noise
266 causally, despite the limitations of the data collection method described before.

267 *Expectations.* Based on the few earlier studies about sentence production in noise, we
268 expect changes in voice intensity and/or quality (Lombard effect), speech and error rate, as
269 well as a potential reduction in the amount of complex or difficult sentence structure.
270 Because of its exploratory nature, the current study is intended as one of the first steps to
271 investigate the influence of a detrimental, noisy communication setting on syntax in language
272 production. The research presented here might serve as a baseline for future studies testing
273 other populations.

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277 **METHOD**

278 **Participants**

279 We tested 12 subjects, 7 female, between 20 and 30 years of age (mean = 23.4; SD = 3.15).
280 Subjects were recruited from among students of the University of Oldenburg and the
281 Oldenburg Technical College, and they were paid 10 Euro per hour for participation. All
282 participants reported to have no known history of language or speech disorders, or hearing
283 impairments.

284 In order to estimate the role of differences between participants in terms of their
285 cognitive capacities, we tested each subject with a *reading span* task (Daneman & Carpenter,
286 1980). This kind of test has been argued to be sensitive to individual differences in verbal
287 working memory, especially with respect to tasks which put both storage as well as
288 processing demands on language users (Just & Carpenter, 1992, but see Waters & Caplan,
289 1996). We tested subjects with German sentences modelled after the material used by
290 Daneman and Carpenter (1980), and presented sentences in random order, as suggested by
291 Friedman and Miyake (2005). A weighted score was obtained for each participant, taking into
292 account the amount of correctly remembered sentence-final words per trial.

293 **Material**

294 Three kinds of stimulus material were used to elicit spoken language from participants,
295 two sets of interview questions, two short, one-page picture stories, and a 24-page long
296 picture story. The interview questions revolved around the two topics holidays/festive days,
297 and travel (see appendix). In addition, we selected two one-page picture stories from the
298 *Father and Son* set of stories by German cartoonist e. o. plauen (Ohser, 1982). Both stories are
299 made up of six black and white drawings without text, which depict individual scenes that
300 connect in a self-contained plot. Finally, the long picture story we used was one of the text-

301 free *Frog Stories* by Mercer Mayer (“Frog, where are you?”, Mayer, 1969), which we slightly
302 shortened to fit 24 (2 × 12) single pages.

303 As distractor noise we used a speech-free sound signal that had been designed to model
304 acoustic characteristics of six concurrent speakers (*ICRA 7*; Dreschler, Verschuure, Ludvigsen
305 & Westermann, 2001). The signal possesses a frequency spectrum similar to that of speech.
306 In addition, the intensity of the signal fluctuates (pseudo-)randomly over time, in order to
307 imitate the ‘babble’ impression experienced in situations where many speakers talk at the
308 same time. The noise signal is part of a standardised set of signals for audiological testing
309 procedures, commissioned by the *International Collegium of Rehabilitative Audiology*.

310 **Apparatus**

311 Subjects were recorded in a sound-attenuated booth at the Speech and Music Lab of
312 Oldenburg university. Recordings were made directly to hard disk, using an AKG C-1000S
313 microphone and an Echo Audio GINA 3G low latency sound adapter. The software used for
314 recordings was PRAAT (Boersma & Weenink, 2010). Sound files containing the noise signal
315 were played back through two Genelec 8020A loudspeakers, facing the subject at
316 approximately 110 cm distance. In order to perform intensity measurements on the sound
317 recordings, we calibrated the hard- and software setup using a Brüel & Kjær Investigator
318 2260 sound pressure level (SPL) meter. Root mean square (RMS) sound pressure level of the
319 noise alone was 65 dB SPL at microphone position. Before every recording, the setup was
320 checked for correct position of microphone and loudspeakers.

321 **Procedure**

322 Subjects were tested individually. After briefing, a reading span score was determined for
323 each subject. The recording session for the elicitation consisted of three parts, (i) a semi-
324 standardised interview, (ii) two short picture story descriptions, and (iii) a long picture story
325 description task. Each part was again divided into two halves, one half of the task carried out

326 in silence, the other half in noise. The order of the two noise conditions was counterbalanced
327 across subjects and tasks so that each half of each tasks was carried out under noise or in
328 silence equally often. An entire study session lasted about 45 to 60 minutes per subject, with
329 an average net recording time of 15 minutes per subject (ranging between 10 and 32
330 minutes).

331 The interview was carried out by the experimenter, who sat opposite of the subject at a
332 table inside the sound-attenuated booth while asking the interview questions. For the second
333 task, each of the two short picture stories was presented on a single sheet of paper, and
334 subjects were allowed to look at the entire story before starting to speak. The instruction
335 given to the subjects asked them to narrate the story, rather than only describing the
336 pictures' content. The final task of the participants was to recount the wordless picture story:
337 "Frog, where are you?" (Mayer, 1969). In order to be able to record under the two noise
338 conditions, the story was divided into two halves of 12 pages each. Each picture was
339 presented on an individual page, and subjects turned the pages themselves. In this case
340 subjects were not allowed to look ahead before turning the page. As with the short picture
341 stories, the instruction was to narrate the events, rather than describing the picture contents.

342 **Scoring and analysis**

343 Sound recordings were transcribed by a trained transcriber, using the CHAT
344 transcription standard (MacWhinney, 2000), and were verified by the first author. Utterance
345 boundaries were determined according to criteria given in the CHAT manual, based on
346 prosodic, syntactic and semantic features, with priority of the former two types of features
347 over the latter.

348 Since the recordings for each task differed in length between subjects, a sample was taken
349 from each transcript that was approximately 300 (interviews), 150 (short picture story), or
350 400 (long picture story) words long for each half of the three tasks. Samples were drawn with
351 the *kwal* command of the CLAN tools (MacWhinney, 2000) from the middle of each transcript

352 and were extended to start and finish at utterance boundaries. For the acoustic analyses, the
353 audio recordings were manually trimmed at the sample boundaries determined for the
354 transcripts. For the measurements of intensity and speech rate we used the samples from our
355 recordings, for which some additional signal processing and cleansing was necessary.

356 *Speech rate.* In order to obtain a speech rate measure for each sample file, we manually
357 edited the audio files to remove experimenter speech from the interview recordings. We
358 counted the amount of words spoken by the subjects in a sample using CLAN, and calculated
359 speech rate in *words per minute* (WPM) based on a measurement of the ‘cleaned’ sample
360 audio file duration. For the speech rate measure, we kept all pauses made by the subject,
361 since they obviously contribute to the speech rate construct.

362 *Intensity.* In order to test for an increase in vocal intensity, indicative of a Lombard
363 effect, we calculated the root mean square intensity of speech in both noise and silence. Since
364 the calculation of a mean intensity value might be skewed by potential differences in the
365 amount and length of pauses the subjects made in the two different conditions, we decided to
366 remove all speech-free portions longer than 0.7 sec (in addition to experimenter speech). The
367 search for pauses was done with the help of a script for the PRAAT software by Lennes
368 (2006). The results of the automatic search were manually verified, and the marked pauses
369 were removed from the audio files. The RMS sound pressure in Pascal (Pa) was obtained for
370 each processed sample audio file using PRAAT.

371 For the recordings we had opted for a free-field study setting, with noise exposure
372 through loudspeakers. We considered this presentation method to be more natural than
373 requiring subjects to wear headphones. For this reason, the sound recordings of the subjects’
374 speech also contain a noise signal portion. In order to estimate the ‘pure’ speech SPL in noise
375 L_s , we measured the RMS sound pressure p_n of a calibration recording that only contained
376 noise and subtracted this value from the RMS sound pressure p_{sn} we had measured for each

377 recording of speech and noise combined. Accordingly, for recordings of speech in silence we
378 subtracted an RMS sound pressure p_n that was obtained from a silence recording with the
379 same setup:

$$L_s = 10 \cdot \log \left(\frac{p_{sn}^2 - p_n^2}{p_{ref}^2} \right) \text{dB}$$

380 p_s , p_{sn} and p_{ref} were measured in Pascal (Pa), p_{ref} is a reference value for calculating the
381 sound pressure *level* of a sound event in decibels (dB), and is conventionally assumed to be 2
382 $\cdot 10^{-5}$ Pa for sound travelling air.

383 **Complexity measures.** Further analyses involving structure counts were carried out
384 manually by the first author, using the transcripts. A number of different indicators for
385 structural complexity were obtained from the transcribed samples. Tokens of complex
386 structure types according to the criteria given earlier, counted as indicators for complexity in
387 production of subjects.

388 The value for *mean clauses per utterance* (MCU) takes into account the total number of
389 main clauses and all embedded clauses in an utterance (e. g. Kemper, Kynette, Rash, O'Brien
390 & Sprott, 1989; Nippold, Hesketh, Duthie & Mansfield, 2005), while a separate count of
391 *embedded structures* contains the amount of dependent clauses only. In addition, we
392 separately looked at three categories of structures from the embedded clauses: *relative*
393 *clauses*, *complement clauses*, and *adverbial clauses* (cf. Hamann et al., 2007; Delage & Tuller,
394 2010). The effects of noise on the canonicity of sentence structures in the produced language
395 was assessed by counting two structures we believed to model cases of *non-canonical*
396 structure: passive sentences and sentences with a topicalised (fronted) object. Finally, for the
397 number of *ungrammatical structures* all clauses which contained grammatical errors (e. g.
398 lexical errors, morphological errors, missing elements, or interrupted sentences) were
399 counted.

400 *Design and statistical analysis.* The presentation of noise was counterbalanced
401 across the different tasks and task halves, and was a within-subject factor. Results were
402 analysed using mixed effects models (Baayen, Davidson & Bates, 2008; Baayen, 2008; Jaeger,
403 2008). To analyse the different complexity measures, we calculated proportions (e. g. of
404 embedded clauses relative to the total number of clauses), and computed linear mixed effects
405 models with noise condition as predictor, using the *lmer* package for the R statistical
406 software. Random effects for subjects and task (interview, short picture story, long picture
407 story) were estimated by adding random intercepts to the models. Intensity and speech rate
408 measures were also evaluated with linear mixed effects models. P-values for the individual
409 model parameters were calculated with the *pvals.fnc()* function (Baayen et al., 2008).

410 In addition to estimating the effect of noise on subjects speaking, we entered their
411 respective score on the *reading span task* into the model, in order to check for correlations
412 between each outcome variables and our measure for individual working memory capacity.³
413 As an additional step to analyse potential correlations with reading span, we performed a
414 model comparison procedure as suggested by Baayen et al. (2008), in order to check whether
415 the reading span measure would improve the fit of the respective model for each outcome
416 variable.

417 **RESULTS**

418 Figures 1 through 3 show the results of our different measures in silence and noise
419 respectively. The noise seems to have an effect on the vocal intensity with which our subjects
420 spoke, and the amount of ungrammatical structures was significantly higher in noise than in
421 silence. While there appear to be differences between the silence and the noise condition for
422 other measures like MCU or the amount of non-canonical structures, these results were not
423 statistically significant.

424 No correlation of subjects' reading span score with any of the different outcome variables
425 reached significance. Table 1 provides an overview of the parameter estimates and p-values
426 for *reading span* score as a coefficient in the different models. As our model comparisons for
427 each outcome variable showed, adding the reading span score to the different models did not
428 improve model fit in any case. Therefore, we removed this parameter from our model
429 specifications. The parameter estimates for the effect of noise on the different outcome
430 variables given in the following results are based on the simplified models.

431 ### Table 1 about here ###

432 Panel A of figure 1 shows the average *speech rate* of subjects in noise and in silence. We
433 do not find a statistically significant difference in speech rate between silence and noise (N =
434 72, log-likelihood = -28.47; Coef. = -0.002, SE = 0.07, p = .980). Panel B of figure 1 shows the
435 result of the *intensity measurement* in silence and under noise. The effect of noise reaches
436 significance: in noise, spoken language is about 8 dB louder than in silence (N = 72, log-
437 likelihood = -148.3; Coef. = 7.044, SE = 0.35, p <.001).

438 ### Figure 1 about here ###

439 The average number of *clauses per utterance* (MCU) for the two noise conditions is given
440 in panel C of figure 1. The MCU appears to be slightly higher in noise than in silence, but this
441 difference does not reach significance (N = 72, log-likelihood = -2.728; Coef. = 0.098, SE =
442 0.05, p = .071). Panel A of figure 2 shows the proportion of *ungrammatical clauses* out of all
443 clauses produced by a subject for a given task, broken down by silence and noise. Subjects
444 seem to produce slightly more ungrammatical structures under noise than in silence, which is
445 indicated by a significant coefficient for the factor noise (N = 72, log-likelihood = 153.4; Coef.
446 = 0.014, SE = 0.01, t = 2.48, p <.05). The grammaticality errors we observed manifest
447 themselves in different ways. We categorised the different errors *post hoc* in order to check
448 for noticeable patterns (see table 2 for an overview).

449 ### Figure 2 about here ###

450 ### Table 2 about here ###

451 The proportion of *non-canonical structures* produced in silence and in noise is presented
452 in panel C of figure 2. We do not find an indication that noise has an effect on the amount of
453 non-canonical structures (N = 72, log-likelihood = 86.35; Coef. = -0.018, SE = 0.02, p = .275).
454 Panel B of figure 2 shows the proportion of *embedded clauses* produced in silence and under
455 noise. Our statistical model (N = 72, log-likelihood = 55.36) does not give us reason to assume
456 an effect of noise on the amount of embeddings subjects produced (Coef. = 0.036, SE = 0.02, p
457 = .126). For more fine-grained analyses, we broke down the total amount of embedded
458 structures, to take a separate look at different kinds of clauses: relative clauses, complement
459 clauses, and adverbial clauses (see figure 3). However, the statistical analyses did not yield
460 significant differences between the silence and the noise condition for either of the three
461 categories (all p >.1).

462 ### Figure 3 about here ###

463 **DISCUSSION**

464 **Reading span**

465 The results of our study yield no indication for a correlation between reading span and
466 any of the complexity or fluency measures. This is in contrast to earlier studies, for authors
467 such as Miyake, Carpenter and Just (1994) or Kemper and Sumner (2001) reported such
468 correlations and have argued for reading span to be indicative of working memory capacity,
469 which in turn they regard as an important factor for creating and maintaining syntactic
470 dependencies within sentences, one of the hallmarks of complex sentences. However, the
471 validity of the reading span task as a measure for syntactic processing has been criticised by
472 other authors, for instance Waters and Caplan (1996) who claim the reading span task is too

473 different from processing for sentence comprehension (also see Friedman & Miyake, 2004).
474 The lack of a significant correlation between reading span scores and our linguistic
475 measurements might support the latter point of view, although it should be borne in mind
476 that our observations were made on language production rather than comprehension. Given
477 the divergent theoretical claims and empirical findings on this issue, we have to refrain from
478 further speculation about why we did not find a correlation.

479 **Lombard effect**

480 That the noise condition we created has an effect on speakers is evidenced by the
481 increase in speech intensity under noise. These results indicate that the current study
482 successfully replicated the *Lombard reflex* or *effect*, in line with findings by for instance Lu
483 and Cooke (2008) and a number of earlier studies on the effect. Lu and Cooke (2008) assume
484 an automatic regulation mechanism underlying the changes in speakers voice under noisy
485 conditions. In order for a Lombard reflex to occur, a regulatory or control loop needs access
486 to the auditory input coming in through the perceptual system. The characteristics of this
487 input then need to trigger changes in the articulatory system. This mechanism should in
488 principle be compatible with control mechanisms postulated for speech monitoring, or might
489 even form part of the monitor.

490 In anticipation of concerns about the study setting, we have to issue a word of caution
491 about our measurement method: since we estimated the voice intensity of speakers from a
492 sound recording which contained both the speaker's voice and the distractor noise, the data
493 might be subject to a some measurement error. But given the strong experimental control of
494 hard- and software settings for the recordings, we are confident that the observed increase
495 reflects an actual effect of noise. Our trust in the data is supported by the fact that the
496 magnitude of the effect we observe (approximately 8 dB) is similar to an earlier observation
497 reported by Garnier, Bailly, Dohen, Welby and Løevenbruck (2006), who found an increase of
498 8.6 dB for utterances produced in (fluctuating) 'cocktail party' noise.

499 **Speech rate**

500 Different from what we would have expected based on earlier studies, we did not see an
501 effect of noise on the rate at which subjects articulate. In the light of results by for instance
502 Postma and Noordanus (1996), or our own results from a different set of experiments (Hanke
503 et al., in press; Hanke, Hamann & Ruigendijk, 2012), we currently have no explanation for our
504 findings. It is possible that the method we used for measuring speech rate comes with too
505 broad a margin of error for obtaining a significant effect, either because of the necessary
506 sample ‘cleaning’ steps or because a measurement in words per minute is too coarse in scale.

507 **Structural measures**

508 Our different measures of structural complexity do not indicate a systematic decrease of
509 complexity under noise. This is in contrast to earlier findings by Kemper et al. (2003), and for
510 now we can only speculate about the reasons for the difference in outcome.

511 An obvious reason might be differences in terms of the experimental setting, for instance
512 the type of noise that was used, and the intensity of presentation: The speech and noise
513 distractor sounds used by Kemper and colleagues were presented at an intensity of 40-60 dB.
514 Despite the lack of further details on their experimental setting, this should amount to a
515 lower noise signal intensity than the intensity level used in our study.

516 One other potential factor might be that the distractor noise used by Kemper et al. (2003)
517 contained speech or speech fragments, very likely even in the “cafeteria noise” recording. In
518 this case, the distractor stimulus would contain linguistic material that might be more
519 difficult to ignore than speech-free noise. Following the logic of dual-task experiments, if
520 linguistic content is present, a competition between the processing of language for the
521 (primary) speaking task and unconscious processing of speech in the distractor might take
522 place, resulting in capacity limitations on shared processes. Hence, we might speculate that
523 the amount of ‘overlap’ between processing resources necessary to automatically process the

524 noise signal and linguistic processing-for-production might not be high enough to result in an
525 observable performance decrease, when speech-free noise is used.

526 Additionally, the design of our study might not have resulted in a conversational setting
527 formal enough to warrant a speaking style that is characterised by a large amount of complex
528 structure to begin with (cf. for instance Nippold et al., 2005). It is difficult to assess, however,
529 in how far differences between studies in terms of lab setting or the wording of instructions
530 might be able to explain our results.

531 In sum, we cannot find evidence for a ‘processing capacity’ effect of noise on speaking
532 with respect to grammatical complexity, contrary to what was observed by Kemper et al.
533 (2003). We might only speculate that the amount of distraction we offered to our participants
534 was not strong enough, compared to the distractor stimuli used by Kemper and colleagues,
535 even given the higher intensity level at which our noise signal was presented.

536 **Error count**

537 The lack of conclusive results about sentence structure complexity non-withstanding,
538 noise did affect the speech production of subjects in our study: The amount of grammaticality
539 errors we observed was increased ever so slightly, but nonetheless significantly under noise.
540 This is in line with earlier findings by Postma and Noordanus (1996), and complements their
541 observations about *phonological* errors with errors on the morphological, lexical and
542 syntactic level. The authors report a decrease in self-reported phonological error rates while
543 producing tongue-twisters when overt, auditory feedback was suppressed for instance by
544 (white, i. e. temporally unmodulated) noise. The noise signal was presented via headphones
545 at 100 dB SPL, an intensity level which was certain to almost completely block external feed-
546 back.

547 Postma and Noordanus (1996) attribute their finding of an increased error rate to the
548 lack of an additional monitoring channel through the (external) auditory feedback loop.

549 Based on Levelt's perceptual loop theory, two mechanisms seem plausible to explain our
550 error effects, both not mutually exclusive: First, some amount of acoustic masking of the
551 signal that is fed back through the external loop will take place, and the monitoring of one's
552 own overt speech is less effective. Second, we might assume an effect of noise on the internal
553 monitoring loop: While the language perception system is partially occupied with
554 (automatic) processing of the distractor noise, the concurrent processing of internal loop
555 information becomes impaired to the effect that erroneously specified parts of speech will be
556 less likely to be intercepted before articulation. This kind of competition is very much akin to
557 what is assumed to happen in studies on the *irrelevant sound effect*.⁴

558 Thus, fluctuating noise could impair error monitoring on two levels: acoustically, by
559 masking/degrading the 'external' memory representation of what had been said, and
560 cognitively, by generating competition for processing time/space on resources shared
561 between the automatic parsing of the distractor noise and monitoring. If the self-perception
562 through different monitoring loops is impaired, the fact that we observe an increase in errors
563 like wrong agreement marking or interleaved sentences (cf. table 2) could actually be seen as
564 the effect of a less efficient access to parts of the conceptual, grammatical or phonological
565 structure of what one was about to say.⁵ More generally, the 'self-perception' during speaking
566 through the external and internal loop might actually even serve a function beyond
567 monitoring, to reinforce the memory representation of parts of speech that have been
568 produced earlier. Based on the sentence parsing architecture proposed by Lewis and
569 Vasishth (2005), Badecker and Kuminiak (2007) have suggested that the on-line generation
570 of structural dependencies like number agreement crucially relies on reactivation of parts of
571 the earlier utterance from immediate memory.

572 The Lombard reflex might be different in terms of the regulatory mechanism involved,
573 which influences muscular control necessary for phonation and articulation, but in effect the
574 reflex might even be in a speaker's own interest: to reinforce the self-perception during

575 speaking, in order to reduce the likelihood of errors or to even serve memory retrieval
576 processes necessary for the construction of sentence structure on-the-fly.

577 CONCLUSION

578 While the literature yields some evidence for performance decreases in acoustically
579 difficult situations, healthy adult speakers appear to be sufficiently fine-tuned to perform the
580 task of speaking even in a difficult, noisy environment. Our results indicate that there is little
581 overlap between ‘low-level’ acoustic processing of *speech-free* noise and formulation
582 processes, with the exception of monitoring for grammaticality errors. The monitor might be
583 impaired by competition for processing resources needed to access earlier parts of the
584 spoken utterance when monitoring through the internal loop, and in addition to that
585 detriments might arise by energetic masking of the self-perception through the external loop.
586 Self-perception could serve to provide or strengthen search cues to re-access earlier parts of
587 the utterance from immediate memory, at positions when this is necessary to process the
588 current part of the utterance. In order to further investigate the effects of noise on language
589 production, more strictly controlled experimental tasks should follow, which increase the
590 burden on processing capacity. In addition, such experiments would allow for a closer
591 examination of the effects of different kinds of noise, especially more speech-like (‘babble’)
592 noise types.

593 NOTES

- 594 1. A couple of other loops have been proposed since by other authors. Postma
595 (2000) gives an overview and cites evidence for as many as 11 monitoring
596 pathways within the language production system.
- 597 2. This is one of the reasons why MLU is considered to work as an estimate of
598 grammatical abilities only up to a certain age (Brown, 1973; Dethorne, Johnson &
599 Loeb, 2005); however see Szmrecsányi (2004).

- 600 3. While both parameters are estimated in one model, our interpretation of the two
601 predictors used in the model differs in that we attempt causal inference from the
602 noise factor, which was controlled and within-subject, whereas for the correlation
603 between results on the reading span task and a particular result on our outcome
604 variable we will not attempt a causal explanation in this study (Shadish, Cook &
605 Campbell, 2002).
- 606 4. It is possible, of course, that a competition for processing resources instigated by
607 irrelevant sound also affects the effectiveness of the external monitoring loop, in
608 addition to the degradation of the perceived signal. A seriation mechanism as
609 proposed by (Jones, 1993) that keeps track of the order of information objects
610 stored in memory could be a sub-component of the monitoring system.
- 611 5. The question remains, however, how impaired monitoring through the *external*
612 loop, which always happens after articulation has already taken place, can lead to
613 a higher number of grammaticality errors. Since our detailed error analysis was
614 carried out *post hoc* and because the types of errors found in our study do not
615 preclude an explanation based on external monitoring, we have to leave this
616 question open for now.
- 617 6. We featured the three examples as ‘major’ holidays since they involve official
618 bank holidays all over Germany, and are usually accompanied by at least one
619 week of school vacation in most parts of the country.

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831 **APPENDIX**

832 **Interview questions: Holidays**

833 In this part of the interview I would like to talk with you about the ‘major’ holidays, like
834 Easter, Pentecost, or Christmas.⁶

- 835 1. Can you remember the last big holiday occasion(s)?
- 836 2. Do you and your family have any particular rituals for celebrating larger holidays?
- 837 3. Are there special meals you would eat on one of those occasions?
- 838 4. Do you experience a lot of hustle and bustle over the holidays?
- 839 5. How would you cope with holiday stress?
- 840 6. What was the last present you got for a holiday occasion?
- 841 7. If tomorrow were Christmas again and you could make a wish for something,
842 what would that be?

843 **Interview questions: Travel**

844 In this part of the interview, I would like to talk to you about holiday travel.

845 1. The last time you went on holiday, where did you travel?

846 2. How did you travel there?

847 3. Why did you travel by *[helicopter]*?

848 4. What is your favourite means of transport for going on holiday?

849 5. What do you like about travelling by *[helicopter]*?

850 6. How do you usually prepare for a trip?

851 7. If you could travel anywhere, where would you like to go?

852 8. Why would you like to go to *[Mars]*?

853

854 **Tables**

855 Table 1: Correlations between weighted reading span measure and different outcome
 856 variables: coefficients for reading span as parameter in linear mixed effects models.

| Outcome variable | Coef. β | SE β | t | p |
|--------------------------|---------------|------------|-------|----------|
| Speech rate | -0.406 | 0.88 | -0.46 | .782 |
| Intensity (dB SPL) | 4.833 | 3.96 | 1.22 | .089 |
| MCU | -0.136 | 0.29 | -0.47 | .615 |
| Error count | -0.038 | 0.03 | -1.39 | .138 |
| Non-canonical structures | -0.030 | 0.06 | -0.52 | .632 |
| Embedded structures | -0.157 | 0.10 | -1.53 | .122 |

857

858 Table 2: Error counts in silence and noise, broken down by error type.

| Error type | silence | noise |
|------------------------|---------|-------|
| lexical errors | 3 | 2 |
| missing word | 1 | 7 |
| case errors | 1 | 1 |
| person, number, gender | - | 4 |
| word order | 3 | 1 |
| interleaved sentences | - | 3 |
| other | - | 3 |

859

860

861 **Figure titles**

862 Figure 1: Speech rate (panel A), root mean square (RMS) intensity (panel B), and mean
863 number of clauses per utterance (MCU; panel C), for silent and noise conditions.

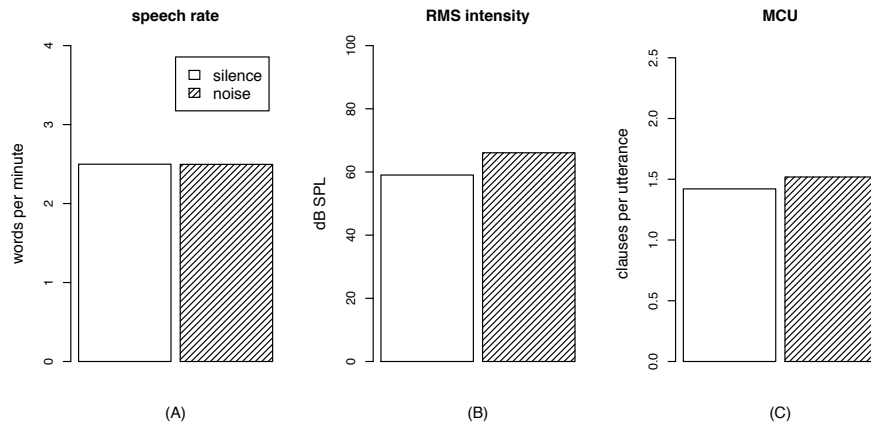
864 Figure 2: Proportion of ungrammatical clauses (panel A), embedded clauses (panel B),
865 and non-canonical structures (panel C) in silence and noise.

866 Figure 3: Proportion of embedded clauses by type: relative clauses (A), complement
867 clauses (B), and adverbial clauses (C).

868

869 **Figures**

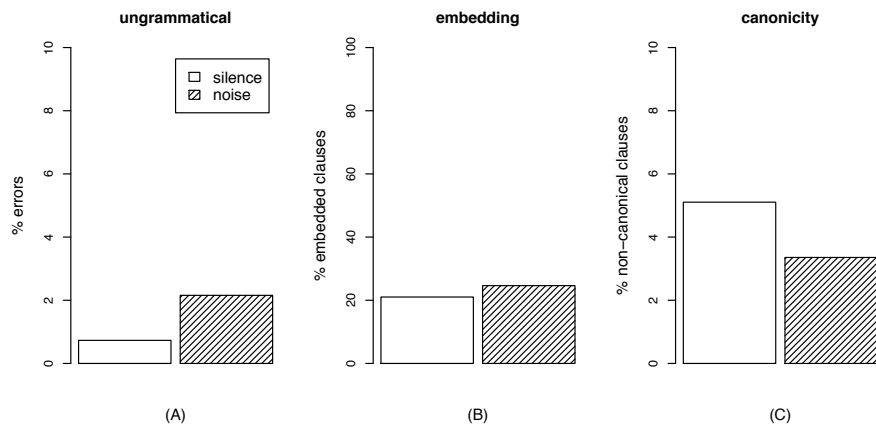
870 *Figure 1.*



871

872

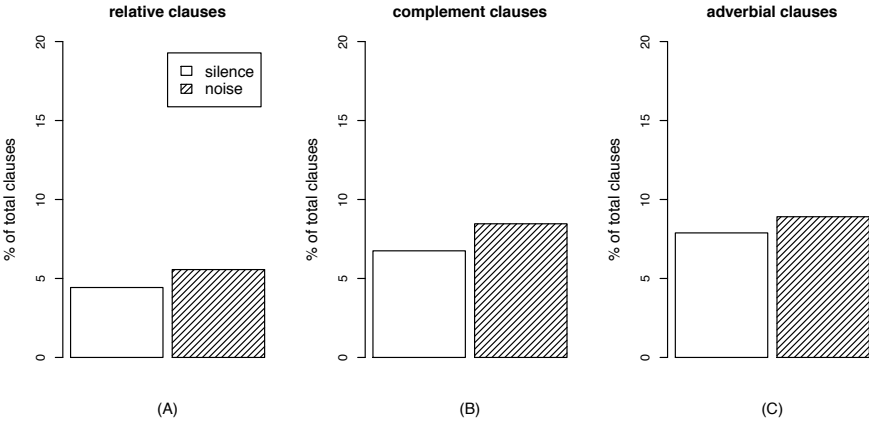
873 *Figure 2.*



874

875

876 Figure 3.



877